

1 GENERAL

1.1 Do you comply with all applicable laws and regulations?

* Mandatory

Yes

No

1.2 Will you inform UPM immediately if you are unable to comply with UPM Supplier and Third Party Code?

* Mandatory

Yes

No

2 UPM Supplier and Third Party Code

2.1 Does the Supplier agree to comply with the UPM Supplier and Third-Party Code? Please find enclosed the english version of the UPM Supplier Supplier and Third Party Code. For other languages please visit; <https://www.upm.com/about-us/for-suppliers/requirements/upm-supplier-and-third-party-code/>

* Mandatory

Yes

No

Attached files

upm-supplier-and-third-party-code.pdf

3 Category Specific Requirements for Raw Materials - Please Answer Only if You Supply Raw Materials

3.1 Are you PMC or Packaging supplier?

- Yes
 No
-
-

3.2 Do you have deliveries to Europe?

- Yes
 No
-
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3.3 All suppliers shall accept to comply with the following:

To have an environmental management system in place.

- Yes
 No
-
-

3.4 All suppliers shall accept to comply with the following:

To have a documented quality management system in place as compliant with ISO9001 or stronger internationally recognized standard relevant to the supplier's business area and scope.

- Yes
 No
-
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3.5 All suppliers (excluding PMC and packaging suppliers) shall accept to comply with the following:

To be fully compliant with the requirements of the European Community REACH (Registration, Evaluation, Authorisation and Restriction of Chemicals) and CLP (Classification, Labeling and Packaging) regulations.

- Yes

No

3.6 All suppliers shall accept to comply with the following:

To be fully compliant with the UPM Restricted Chemical Substance List. Please download it from:
<http://www.upm.com/About-us/For-suppliers/Requirements/Pages/Chemicals.aspx>

Yes

No

3.7 All suppliers shall accept to comply with the following:

To disclose if the product delivered to UPM contains genetically modified elements (GMO).

Yes

No

3.8 All suppliers shall accept to comply with the following:

To approve UPM safety requirements (www.upm.com) and to ensure that all of supplier's employees and subcontractors executing physical work or operating heavy-duty vehicle or equipment at any UPM premises will comply with site-specific safety instructions and wear the necessary protective clothing.

Yes

No

3.9 All suppliers shall accept to comply with the following:

To ensure that all sensitive UPM information is classified and will be limited only for those persons who have a need to use this information for the purpose of serving UPM.

Yes

No

3.10 All suppliers shall accept to comply with the following:

To ensure that every product or service delivered to UPM meets the specification.

- Yes
- No
-

3.11 All suppliers with deliveries to Europe shall accept to comply with the following:

To ensure that the products delivered shall be packed with materials complying with the essential requirements of EU Packaging directive 94.62 EC, the International Plant Protection Convention (IPPC) and relevant national ordinances.

- Yes
- No
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3.12 All suppliers (excluding PMC and packaging suppliers) with deliveries to Europe shall accept to comply with the following:

To ensure that the chemicals delivered meets the current criteria of EU Ecolabel (valid 7.6.2011-7.6.2015)**

**<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:32011D0333:EN:NOT>

- Yes
- No
-

3.13 All suppliers of phenolic overlays shall accept to comply with the following:

To ensure that product is FSC (Forest Stewardship Council) controlled wood.

- Yes
- No
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4 Category Specific Requirements for Indirect Materials and Services - Please Answer Only if You Supply Indirect Materials or Services

4.1 All suppliers shall accept to comply with the following:

To have a documented quality management system in place as compliant with ISO9001, ITIL or other internationally recognized standard relevant to the supplier's business area and scope.

Yes

No

4.2 All IT suppliers shall accept to comply with the following:

To comply with European Union directive 95/46/EC in case there is a need to use classified UPM information.

Yes

No

4.3 All IT suppliers shall accept to comply with the following:

To have a SAS70 (Statement of Auditing Standard) certificate for IT service provider.

Yes

No

4.4 All suppliers shall accept to comply with the following:

To approve UPM safety requirements (www.upm.com) and to ensure that all of supplier's employees and subcontractors executing physical work at any UPM premises will comply with site-specific safety instructions.

Yes

No

4.5 All suppliers shall accept to comply with the following:

To continuously improve the energy performance by using more efficient services, products and equipment and provide all necessary information at UPMs request.

- Yes
 - No
-
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4.6 All suppliers shall accept to comply with the following:

To ensure that every product or service delivered to UPM meets the specification and UPM performance requirements as well as technical regulations for manufacturing or processing.

- Yes
 - No
-
-

4.7 All suppliers shall accept to comply with the following:

To ensure that all sensitive UPM information is classified and will be limited only for those persons who have a need to use this information for the purpose of serving UPM.

- Yes
 - No
-
-

4.8 All suppliers shall accept to comply with the following:

To inform UPM and take additional actions in the event that there will be delays with the confirmed deliveries by being able to track them.

- Yes
 - No
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5 Category Specific Requirements for Recycled Paper - Please Answer Only if You Supply Recycled Paper

5.1 All suppliers shall accept to comply with the following:

To confirm that the business has a robust code of conduct policy that sets out the standard of responsible behavior expected from each employee of business.

Yes

No

5.2 All suppliers shall accept to comply with the following:

To have the necessary planning consents and permits for all your site activities.

Yes

No

6 Category Specific Requirements for Logistics - Please Answer Only if You Provide Logistic Services

Related links: <http://cargohandling.upm.com/en/handling/handling-of-products/paper/Pages/default.aspx>

6.1 All suppliers shall accept to comply with the following:

To have an environmental management system in place.

Yes

No

6.2 All suppliers shall accept to comply with the following:

To be compliant with Authorised Economic Operator requirements and/or accredited AEO.

Yes

No

6.3 All suppliers shall accept to comply with the following:

To be conversant with and adhere to UPM Cargo Handling Manual.

Yes

No

7 Category Specific Requirements for Pulp - Please Answer Only if You Supply Pulp

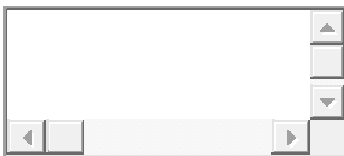
Suppliers shall accept to comply with the following:

It shall be noted that UPM prefers suppliers delivering pulp coming from FSC & FEFC Certified forests but also recognizes The Canadian Standards Association (CSA) and the Sustainable Forestry Initiative (SFI)

7.1 Use Best Available Technology (BAT) to minimize environmental loading

- Yes (will comply)
- No (not capable to comply)

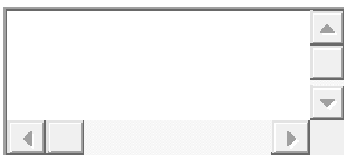
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7.2 Have a third party Certified origin of wood/chain-of-custody monitoring system in place

- Yes (will comply)
- No (not capable to comply)

Comment



7.3 Deliver pulp that fulfil FSC Controlled Wood and PEFC Due Diligence System requirements

- Yes (will comply)
- No (not capable to comply)

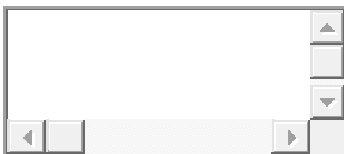
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7.4 Ensure that no illegal logging has been done and additionally approve the source of logging by local authorities

- Yes (will comply)
- No (not capable to comply)

Comment



7.5 For deliveries in North America, the supplier shall ensure that

All Products will be produced in accordance with all of the applicable laws and regulations of the United States, including without limitation the Lacey Act (16 U.S.C., 3371 et seq. Section 8204, Prevention of Illegal Logging Practices) (the “US Lacey Act”), and the country in which such Product is manufactured.

- Yes (will comply)
- No (not capable to comply)

Comment

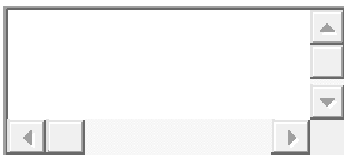


7.6 For deliveries in Europe, the supplier shall: Ensure that the pulp delivered meets the current criteria of EU Ecolabel (valid 7.6.2011-7.6.2015)**

**<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:32011D0333:EN:NOT>

- Yes (will comply)
 - No (not capable to comply)
-

Comment



7.7 For deliveries in Europe, the supplier shall: The Supplier shall be EU Ecolabel approved by an official EU competent body

- Yes (will comply)
 - No (not capable to comply)
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